



Boxed  
IFW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

27

Application Number

CIP of 10/731,961

Filing Date

First Named Inventor

Richard Voellmy

Art Unit

Examiner Name

Attorney Docket Number

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☒

CD, Number of CD(s) 1

☐ Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

SEQUENCE LISTING IN PAPER FORM

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

HSF Pharmaceuticals S.A.

Signature

*Richard Voellmy*

Printed name

Richard Voellmy

Date

06/20/2005

Reg. No.

40,859

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

MAILED BY FEDEX

*Richard Voellmy*

Typed or printed name

Richard Voellmy

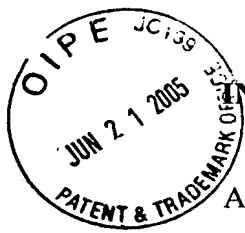
Date

06/20/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Box 504  
I FW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard Voellmy

Application No. CIP of 10/731,961

Examiner:

Mailed: 06/07/2005

Art Unit:

For: VIRAL VECTORS WHOSE REPLICATION AND, OPTIONALLY, PASSENGER GENE ARE CONTROLLED BY A GENE SWITCH ACTIVATED BY HEAT IN THE PRESENCE OR ABSENCE OF A SMALL-MOLECULE REGULATOR

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Alexandria, VA 22313

Sir:

The above-referenced utility patent application was couriered to the Patent Office on June 7, 2005. The application papers lacked a Sequence Listing in paper form and in computer-readable form, which listing is provided in this submission. The present preliminary amendment is to direct entry of the Sequence Listing. Please amend the application as follows:

**Amendments to the Specification**

Please enter the enclosed Sequence Listing in the specification.

On page 8, line 22, please insert "(SEQ ID NO: 1)" after "pShuttle".